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US Dept. of Commerce Pat. & Trademark Office

Attorney's Docket No.

23531

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371

US. Application No. (if known)

10/575673

INTERNATIONAL APP. NO.

PCT/DE2004/002417

INTERNATIONAL FILING DATE

29 October 2004

PRIORITY DATE CLAIMED

29 October 2003

TITLE OF INVENTION

BONE SCREW

APPLICANT(S) FOR DO/EO/US

Niko WILLMANN

Applicant herewith submits to the United States Designated/Elected Office (DO/EU/US) the following .

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☐ This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 317(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 USC 371(c)(2)).
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Patent Office.
6. ☒ A translation of the International application into English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11. to 16. below concern documents or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An Assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information.  
Drawing (5 sheets)  
References  
PTO-1449

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## CALCULATIONS PTO USE ONLY

## 17. The following fees are submitted:

## Basic National Fee (37 CFR 1.492(a)(1)-(5):

Search report has been prepared by the EPO or JP ..... \$950.00

Int'l prel. exam. fee paid to USPTO (37 CFR 1.482) ..... \$750.00

No int'l prel. exam. fee paid to USPTO (37 CFR 1.482)

but int'l search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$790.00

Neither int'l prel. exam fee (37 CFR 1.482) nor

int'l search fee (37 CFR 1.455(a)(2)) paid to USPTO ..... \$1000.00

Intl. prel. exam. fee paid to USPTO (37 CFR 1.482)

and all claims satisfied provisions of PCT Art. 33(2-4) ..... \$200.00

\$1,000

ENTER APPROPRIATE BASIC FEE AMOUNT

Surcharge of \$130.00 for furnishing oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

| CLAIMS                                                                                                                                                                                                 | NO. FILED | NO. EXTRA | RATE  |                    |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|-------|--------------------|--|
| Total claims                                                                                                                                                                                           | 10        | 0         | \$50  | \$0                |  |
| Ind. claims                                                                                                                                                                                            | 0         | 0         | \$200 | \$0                |  |
| MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.)                                                                                                                                                |           |           | 360   |                    |  |
| TOTAL OF ABOVE CALCULATIONS                                                                                                                                                                            |           |           |       | \$1,000            |  |
| Reduction of ½ for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (37 CFR 1.2, 1.27, 1.28)                                                                  |           |           |       | \$500              |  |
| SUBTOTAL                                                                                                                                                                                               |           |           |       | \$500              |  |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). |           |           |       |                    |  |
| TOTAL NATIONAL FEE                                                                                                                                                                                     |           |           |       | \$500              |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39)                                               |           |           |       | \$40               |  |
| TOTAL FEES ENCLOSED                                                                                                                                                                                    |           |           |       | \$540              |  |
|                                                                                                                                                                                                        |           |           |       | Amt to be refunded |  |
|                                                                                                                                                                                                        |           |           |       | Amt to be charged  |  |

- a. ☐ A check in the amount of \$500 to cover the above fees is enclosed
- b. ☐ Please charge my deposit account 18-2025 \$00.00 to cover the above fees. A copy of this sheet is enclosed.
- c. ☒ Please charge the amount due to the credit card identified in the attached PTO-2038.
- d. ☒ The commissioner is authorized to charge any additional fees which may be required or credit any overpayment to deposit account 18-2025. A copy of this sheet is enclosed
- e. ☒ A PTO-2038 in the amount of \$40 to cover recordal of the Assignment is enclosed

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:

The Firm of Karl F. Ross P.C.  
5676 Riverdale Ave. Box 900  
Riverdale (Bronx), NY 10471

  
Andrew Wilford, Reg. No. 26,597

23531



04-12-1430 Res'd PCT/PTO 11 APR 2006

Certificate of Payment of First-Class Mailing  
I hereby certify that I have deposited this correspondence with the US Postal Service as first-class or, if a mailing-label number is given below, as express mail addressed to Comm. of Patents, Box 1450, Alexandria, VA 22313-1450  
on APR 11 2006  
EV790894839

IN THE U.S. PATENT AND TRADEMARK OFFICE

Inventor **Niko WILLMANN**  
Patent App. **Not known (US Nat'l phase of PCT/DE2004/002417)**  
Filed **Concurrently herewith**  
For **BONE SCREW**

Hon. Commissioner of Patents  
Box 1450 Alexandria, VA 22313-1450

**RECORD OF TRANSMITTAL--PCT APPLICATION**

|                                                     |                 |
|-----------------------------------------------------|-----------------|
| ■ PCT Transmittal                                   |                 |
| ■ PCT Application                                   |                 |
| ■ Translation                                       |                 |
| ■ Sheets of Drawing (5)                             |                 |
| ■ PCT Declaration                                   |                 |
| ■ PCT Documents                                     |                 |
| ■ International Search Report                       |                 |
| ■ Preliminary Amendment                             |                 |
| ■ Assignment (with PTO-1595 and sep. PTO-2038)      |                 |
| ■ Reference(s) with PTO-1449                        |                 |
| ■ PTO-2038 for Official Fees                        |                 |
| ■ Basic Fee (Small Entity)                          | \$500.00        |
| <input type="checkbox"/> Ind. claims in excess of 3 | \$00.00         |
| <input type="checkbox"/> Claim in excess of 20      | \$00.00         |
| <b>Total</b>                                        | <b>\$500.00</b> |

Please charge any fees not covered by an enclosed PTO-2038 to account 18-2025 of the undersigned.

11 April 2006

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Bronx, NY 10471-0900  
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rg

Respectfully submitted,  
The Firm of Karl F. Ross P.C.

  
Andrew Wilford, Reg. 26,597

10/572673

1AP20 RSC'd PCT/DE 11 APR 2006

23531

State of New York       )  
                                  )  
County of the Bronx     )       ss:

TRANSLATOR'S AFFIDAVIT

I, Andrew Wilford, a citizen of the United States of America,  
residing in Dobbs Ferry, New York, depose and state that:

I am familiar with the English and German languages;

I have read a copy of the German-language document PCT appli-  
cation PCT/DE2004/002417 published 12 May 2005 as WO 2005/041798;  
and

The hereto-attached English-language text is an accurate  
translation of this German-language document.

LINDA BERRIOS  
Notary Public State of New York  
No. 01BE5016825  
Qualified in Bronx County  
Commission expires August 23, 2009

  
\_\_\_\_\_  
Andrew Wilford

Sworn to and subscribed before me  
6 April 2006

  
\_\_\_\_\_  
Notary Public